

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Klement et al.
Serial No.: 10/572,347
For: LOCKUP CLUTCH FOR HYDRODYNAMIC COMPONENTS
International Filing Date: September 20, 2004
US Filing Date: March 9, 2007
Examiner: Not Yet Assigned
Art Unit: Not Yet Assigned
Confirmation No.: 7544
Customer No.: 27,623 Attorney Docket No.: WW049USU

**Mail Stop PCT
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450
Attention: DO/EO/US**

Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- Declaration and Power of Attorney form executed by **Werner Klement, Werner Adams, Rolf Brockmann, Werner Koch and Martin Becke;**
- The undersigned attorney has verified that the applicant is entitled to a Small Entity Status;
- _____ Sheets of Formal Drawings;
- An Assignment of the Invention to: **VOITH TURBO GMBH & CO. KG**, including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- Information Disclosure Statement (Form - 1449);
- A copy of the Notification of Missing Requirements Under 37 U.S.C. 371;
- Preliminary Amendment; and

Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$300.00
Total Claims	18 - 20 =	0	x \$50.00	\$
Independent Claims	1 - 3 =	0	x \$200.00	\$
Multiple Dependent Claim Fee				x \$360.00 = \$0.00
SEARCH FEE				\$500.00
EXAMINATION FEE				\$200.00
NATIONAL STAGE APPLICATION SIZE FEE (\$250 FOR EACH ADDITIONAL 50 SHEETS OVER 100 SHEETS)				\$
TOTAL FILING FEE				\$PAID
1/2 FILING FEE FOR SMALL ENTITY				\$

- A check in the amount of \$ 170.00 to cover the surcharge (\$130) and assignment recordation fee (\$40) is enclosed.
- The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

March 9, 2007

Date

03/13/2007 LLANDGRA 00000046 10572347

01 FC:1617

130.00 OP

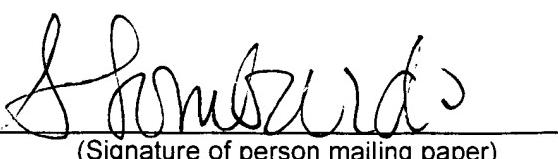


Charles N.J. Ruggiero
Attorney for Applicant(s)
Registration No. 28,468
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
One Landmark Square, 10th Floor
Stamford, CT 06901-2682
Telephone: (203) 327-4500
Telefax: (203) 327 6401

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EV925821956US**, service under 37 CFR §1.10 and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Attention: DO/EO/US on March 9, 2007.

Suzanne Lombardo
(Typed name of person mailing paper)



(Signature of person mailing paper)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/572,347	Werner Klement	WW049USU
INTERNATIONAL APPLICATION NO.		
PCT/EP04/10521		
I.A. FILING DATE	PRIORITY DATE	
09/20/2004	09/19/2003	
CONFIRMATION NO. 7544		
371 FORMALITIES LETTER		
 OC000000021887992		

Date Mailed: 01/09/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/17/2006
- English Translation of the IA filed on 03/17/2006
- Copy of the International Search Report filed on 03/17/2006
- Copy of IPE Report filed on 03/17/2006
- Copy of Annexes to the IPER filed on 03/17/2006
- English Translation of Annexes to the IPE filed on 03/17/2006
- Preliminary Amendments filed on 03/17/2006
- Information Disclosure Statements filed on 03/17/2006
- U.S. Basic National Fees filed on 03/17/2006
- Priority Documents filed on 03/17/2006

RECEIVED
JAN 11 2007
U.S. PATENT & TRADEMARK OFFICE
RUGGIERO & PERLE, LLP

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

VIRGINIA L IRBY

Telephone: (703) 308-9140 EXT 229

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/572,347	PCT/EP04/10521	WW049USU

FORM PCT/DO/EO/905 (371 Formalities Notice)